

**BEST AVAILABLE COPY**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Docket No. 406990)

In re the Application of:

Rakesh Bhakta

Serial No.: 10/040,603

Filed: January 7, 2002

For: Gaming Device with Biometric  
System



**Customer No. 27717**

Art Unit: 2136

Examiner B. Hoffman

TO: MAIL STOP: Amendment  
Commissioner for Patent  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

**SUPPLEMENTAL DECLARATION OF GARRETTSON ELLIS UNDER 37 CFR 1.131**

I, Garrettson Ellis, hereby declare:

1. This declaration is supplemental to my Declaration dated November 28, 2005 which was filed in the above identified application. A copy of my November 28, 2005 Declaration is attached as Exhibit A.

2. In paragraph 9 of my Declaration, I point out that I was diligent in preparing the P-699 patent application draft. I state in my November 28, 2005 Declaration that "during the months of September 2001 and October 2001, I had a heavy docket with numerous patent applications and amendments to prepare which I believe I took up in proper order."

3. I consider "proper order" in taking up patent applications to be roughly the order in which the invention disclosure is received for preparation of an application. Ordinarily I prepare patent applications in approximately the sequential order that the

invention disclosures are received by me. In addition to preparing patent applications, I prosecute such applications including preparing responses to Office Actions.

4. As I stated in my previous declaration, during the month of September 2001 and October 2001, I had a heavy docket with numerous patent applications and amendments to prepare. As my records show, I did not delay the preparation of the P-699 patent application. The patent application is a 17 page patent application (excluding the drawings). At the same time I had other applications on my docket. The records clearly show that I was diligent in the preparation of the draft of the patent application.

5. Specifically, my billing records indicate the following activities with respect to preparation of the P-699 patent application during the months of September, 2001 and October, 2001:

A. On September 5, 2001, I studied the invention disclosure of P-699. I attempted to call Mr. Bhatka; I drafted some claims; and I studied the situation relative to the patent drawing.

B. On September 6, 2001, I planned the drawing for this present case P 699. Also, I dictated a partial draft of the application.

C. On September 10, 2001, I reviewed and revised eight pages of the draft application.

D. On September 11, 2001, I further reviewed and revised the draft application, which was then 15 pages long, and sent it for secretarial correction.

E. On September 14, 2001, I further reviewed and revised the 15 page application, and communicated with the patent draftsman.

F. On September 19, 2001, I communicated with the draftsman again relative to the drawing in question.

G. On October 9, 2001, after reviewing the file I had a telephone conference on that day with Mr. Bhatka about details concerning the invention.

H. On October 12, 2001, I again reviewed the application and revised it. I also drafted a letter forwarding the draft application including the drawing from the draftsman, to Mr. Bhatka for review.

I. On October 16, I had a declaration and assignment prepared, and I reviewed the application papers with IGT's billing attorney, George Gerstman. My billing records indicate that the papers were forwarded to Mr. Bhatka via Federal Express on October 16 although my letter is dated October 15..

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

July 17, 2006  
Date

  
\_\_\_\_\_  
Garrettson Ellis



## **EXHIBIT A**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Docket No. 406990)

In re the Application of:

Rakesh Bhakta

Serial No.: 10/040,603

Filed: January 7, 2002

For: Gaming Device with Biometric  
System



Customer No. 27717

Art Unit: 2136

Examiner B. Hoffman

**DECLARATION OF GARRETTSON ELLIS UNDER 37 C.F.R. §1.131**

I, Garrettson Ellis, hereby declare:

1. I am a registered patent attorney at the law firm of Seyfarth Shaw LLP, 55 East Monroe Street, Suite 4200, Chicago, Illinois 60603.

2. I am the patent attorney who prepared the above identified patent application.

3. On July 5, 2001, a Patent Request submitted by the applicant Rakesh Bhakta was printed at IGT. The Patent Request discloses a gaming machine using smart cards and involves the storage of a set of fingerprints on a smart card or other type of player tracking card. It also discloses the use of fingerprint scanners enclosed within the play buttons on the gaming machines (i.e., cash out, max bet, hold buttons). When the smart card is inserted and the patron pushes a button, the scanner in the buttons scans the finger and compares that image with the one stored in the smart card to determine if the player matches the smart card. A copy of the Patent Request display, showing that it was disclosed to David Oles by the inventor and submitted on

June 26, 2001, is attached as Exhibit 1. Exhibit 1 also shows, on the bottom of both pages, that the Patent Request display was printed on July 5, 2001.

4. On August 22, 2001, one of the patent attorneys working with me, George H. Gerstman, received a fax from IGT, Mr. Bhakta's employer. The fax included a copy of the Patent Request (Exhibit 1) for Mr. Gerstman's review. A redacted copy of the August 22, 2001 fax and enclosure is attached as Exhibit 2.

5. Between August 22, 2001 and August 27, 2001, I reviewed the invention disclosure sent to Mr. Gerstman and I mailed to IGT a letter in that regard dated August 27, 2001. A redacted copy of this letter is attached as Exhibit 3. My letter concerned invention record P-699, which is the invention record of the instant application and which also corresponds to the IGT docket number of the instant application.

6. On August 31, 2001, Lena Van Asdale, a patent attorney at IGT, sent me an email advising me to prepare a patent application on the P-699 invention disclosure. A copy of this email is attached as Exhibit 4.

7. On September 15, 2001, Lena Van Asdale sent an email to the inventor regarding the patent application. On September 17, 2001, the inventor sent Ms. Van Asdale a responding email and later that day on September 17, the inventor sent an additional email to Ms. Van Asdale concerning the patent application. A copy of the September 15 and September 17, 2001 emails is attached as Exhibit 5.

8. Between August 31, 2001 and October 15, 2001, I prepared a draft patent application and on October 15, 2001, I enclosed the copy of the draft patent application

to the inventor. A copy of my October 15, 2001 letter to the inventor (without the enclosure) is attached as Exhibit 6.

9. I was diligent in preparing the P-699 patent application draft. During the month of September 2001 and October 2001, I had a heavy docket with numerous patent applications and amendments to prepare which I believe I took up in proper order.

10. Between October 15, 2001 and November 8, 2001, the inventor reviewed the draft patent application and provided comments, which were sent to me on November 8, 2001. A copy of the November 8, 2001 letter sending me comments and revisions to the application (without the enclosure) is attached as Exhibit 7.

11. On November 19, 2001, the inventor sent me an email relating to information pertinent to the invention. A copy of the inventor's November 19, 2001 email is attached as Exhibit 8.

12. On November 20, 2001, I sent to the inventor a revised draft of the patent application, responsive to his comments and to a telephone conversation. A copy of my November 20, 2001 letter is attached as Exhibit 9. With the letter and revised patent application, I also attached a Declaration and Power of Attorney, plus an Assignment, for execution by the inventor.

13. On December 6, 2001, the inventor executed the Assignment. A copy of the Assignment is attached as Exhibit 10. Between November 21 and December 18, 2001, the inventor reviewed the application and signed the Declaration on December 18, 2001. A copy of the signed Declaration, dated December 18, 2001, is attached as Exhibit 11.

14. Immediately after the Christmas/New Year holidays, on January 2, IGT sent to me the executed patent application paper for filing. A copy of Ms. DiMino's January 2, 2002 letter is attached as Exhibit 12. It can be seen on Exhibit 12 that Mr. Gerstman at Seyfarth Shaw acknowledged the receipt of these documents on January 3, 2002.

15. On January 7, 2002, Mr. Gerstman prepared the transmittal documents and Express Mail Certificate and the patent application was filed at the U.S. PTO on that date. The date of January 7, 2002, constitutes a constructive reduction to practice of the application.

I hereby declare that all statements made herein of my knowledge are true and that all statements made on information and belief are believed to be true; and further that statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Nov 28, 2005  
Date

  
Garrettson Ellis



# Patent Request Display

Submit Date 06/26/2001

Attachment **Open**

ConceptionDate

Title player identification

First Disclosure to Whom david oles in his office

First Disclosure Date

Earliest Recorded Date

Name1 Bhakta.Rakesh

HomeAddress1 2504 Antique Blossom Ave, Henderson NV-89052

Citizenship1 British

Name2

HomeAddress2

Citizenship2

Name3

HomeAddress3

Citizenship3

Name4

HomeAddress4

Citizenship4

Others Names

Others Location

Others Approximate Date

OtherIssuedPatentNumber

Others Disclosure Title

Other Disclosure Date

Based Name

Based Location

Based Approximate Date

Based Patent Number

Based Disclosure Title

Based Disclosure Date

**Background** BACKGROUND OF INVENTION to make it possible for a casino to keep a track of players using a gaming machine by using the smart card on a player tracking unit or gaming machine

**Advantages** ADVANTAGES ACHIEVED BY INVENTION The idea would enhance the use of smart cards when players use smart cards to play credits on a gaming machine particularly in areas where no security measures exist between the player and the game by having a non intrusive record of a patron playing at a gaming

machine and being able to record that presence for future reference.

**Comments** The invention involves the storage of a set of finger prints on a smart card or other type of player tracking card. Also the use of finger print scanners enclosed within the play buttons on a gaming machine. (i.e cashout, max bet, hold buttons) when the smart card is inserted and the patron pushes a button the scanner in the button scans the finger and compares that image with the one stored in the smart card to determine if the player matches the smart card. The finger print images scanned may be recorded and kept for legal comparison at a later time.

**Submitted**  
**Approved**  
**PatentAttorney**  
**Status**

**Reload**

[1 : 1] Color=4



# International Game Technology

Via Facsimile

**TO:** George Gerstman, Esq.

**FAX:** 312-269-8869

**FROM:** Lena T. Van Asdale  
Associate General Counsel  
IGT  
9295 Prototype Drive  
Reno, Nevada 89511 USA

Telephone: (775) 448-7775  
Fax: (775) 448-0120

**DATE:** August 22, 2001

Number of Pages (including cover sheet): 4

♥ ♦ ♣ ♠ ♥ ♦ ♣ ♠ ♥ ♦ ♣ ♠ ♥ ♦ ♣ ♠ ♥ ♦ ♣ ♠ ♥ ♦ ♣ ♠ ♥ ♦ ♣ ♠

Message: Please see attached.

**\*\*If all pages were not received, or if problems occurred, please call Chris Chausse' at (775)-448-1466\*\***

The information contained in this facsimile is intended for the named recipients only. It may contain privileged and confidential information. If you are not an intended recipient, you must not copy, distribute or take any action in reliance on it. If you have received this facsimile in error, please notify the sender immediately by a collect telephone call to (775) 448-1438 and return the original to the sender by mail. You will be reimbursed for the postage.

EXHIBIT 2



# International Game Technology

August 22, 2001

**VIA FACSIMILE**

George H. Gerstman, Esq.  
Seyfarth Shaw  
55 East Monroe  
Suite 4200  
Chicago, Illinois 60603-5803

Re: New Invention Disclosure  
Entitled: "Player Identification"  
Our File No.: P-699

Dear George:

Please find attached the above-identified invention disclosure.

If you need additional information, please do not hesitate to contact the inventor Rakesh Bhakta directly at (702) 896-8261.

Please acknowledge receipt of this correspondence by executing the acknowledgement block at the bottom of this letter, and returning it to me via facsimile at (775) 448-0120. If you have any questions or need any additional information, please feel free to contact me at (775) 448-7775.

Very truly yours,

Lena T. Van Asdale  
Associate General Counsel

Enclosure

Cc: Kimberley DiMino

**ACKNOWLEDGED, RECEIVED AND AGREED TO:**

**SEYFARTH SHAW**

By:   
Print Name: George Gerstman  
Title: Partner  
Date: Aug 22, 2001

**FAX SENT**

Mail Correspondence to: P.O. Box 10580, Reno, NV 89510-0580

9295 Prototype Drive, Reno, NV 89511, Phone 775/448-7777

# Patent Request Display

Submit Date 06/26/2001

Attachment **Open**

ConceptionDate 06/26/2001

Title player identification

First Disclosure to Whom david oles in his office

First Disclosure Date 06/26/2001

Earliest Recorded Date 06/26/2001

Name1 Bhakta.Rakesh

HomeAddress1 2504 Antique Blossom Ave, Henderson NV-89052

Citizenship1 British

Name2

HomeAddress2

Citizenship2

Name3

HomeAddress3

Citizenship3

Name4

HomeAddress4

Citizenship4

Others Names

Others Location

Others Approximate Date

OtherIssuedPatentNumber

Others Disclosure Title

Other Disclosure Date

Based Name

Based Location

Based Approximate Date

Based Patent Number

Based Disclosure Title

Based Disclosure Date

**Background** BACKGROUND OF INVENTION to make it possible for a casino to keep a track of players using a gaming machine by using the smart card on a player tracking unit or gaming machine

**Advantages** ADVANTAGES ACHIEVED BY INVENTION The idea would enhance the use of smart cards when players use smart cards to play credits on a gaming machine particularly in areas where no security measures exist between the player and the game by having a non intrusive record of a patron playing at a gaming

machine and being able to record that presence for future reference.

**Comments** The invention involves the storage of a set of finger prints on a smart card or other type of player tracking card. Also the use of finger print scanners enclosed within the play buttons on a gaming machine. (i.e cashout, max bet, hold buttons) when the smart card is inserted and the patron pushes a button the scanner in the button scans the finger and compares that image with the one stored in the smart card to determine if the player matches the smart card. The finger print images scanned may be recorded and kept for legal comparison at a later time.

**Submitted**  
**Approved**  
**PatentAttorney**  
**Status**

**Reload**

[1 : 1] Color=4

Writer's direct phone

(312) 269-8518

Writer's e-mail

[gellis@seyfarth.com](mailto:gellis@seyfarth.com)

55 East Monroe Street

Suite 4200

Chicago, IL 60603-5803

312-346-8000

fax 312-269-8869

[www.seyfarth.com](http://www.seyfarth.com)

August 27, 2001

ATTORNEY-CLIENT PRIVILEGED

Lena T. Van Asdale, Esq.  
Associate General Counsel  
IGT  
9295 Prototype Drive  
Reno, Nevada 89511

*Re: New Invention Record P 699 and case P 220*

Dear Lena:

You wrote George on August 22, 2001 asking him to review the invention disclosure P 699 and application P 220, and George handed it on to me.

I think Mr. Bhakta does disclose something new in his invention record P 699: particularly his suggestion of putting fingerprint scanners within the play buttons on a gaming machine. Thus, the identity of the player is automatically confirmed as against the identity on the smart card the person is using.

BRUSSELS

WASHINGTON, D.C.

SAN FRANCISCO

SACRAMENTO

NEW YORK

LOS ANGELES

HOUSTON

CHICAGO

BOSTON

ATLANTA

Lena T. Van Asdale, Esq.  
August 27, 2001  
Page 2

Cordially yours,

SEYFARTH, SHAW



Garrettson Ellis

GE/jd

cc: Kimberley A. DiMino, Esq.  
10270596.1



**From:** "VanAsdale.Lena" <Lena.VanAsdale@IGT.com>  
**To:** "gellis@seyfarth.com" <gellis@seyfarth.com>  
**Date:** 8/31/01 4:25PM  
**Subject:** P-699 "Player Identification"

Please proceed and prepare a separate patent application for the above-identified invention disclosure.

Lena

Lena T. Van Asdale  
Associate General Counsel  
International Game Technology  
9295 Prototype Drive  
Reno, Nevada 89511  
Phone (775) 448-7775  
E-mail: Lena.VanAsdale@IGT.com

**CC:** "Dimino.Kimberley.A" <Kimberley.Dimino@IGT.com>

**VanAsdale.Lena**

---

**From:** Bhakta.Rakesh  
**Sent:** Monday, September 17, 2001 9:34 AM  
**To:** VanAsdale.Lena  
**Subject:** RE: P-699 "Player Identification"

I've been told that the feature is not being displayed at the show

-----Original Message-----

**From:** VanAsdale.Lena  
**Sent:** Monday, September 17, 2001 8:54 AM  
**To:** Bhakta.Rakesh  
**Subject:** RE: P-699 "Player Identification"

The attorney handling your patent application is Garry Ellis at Seyfarth Shaw in Chicago. His phone number is 312-269-8518.

With respect to the filing deadline of P-699, what I need to know is whether this feature will be displayed at the show. Please find that out for me. Thanks.

Lena

-----Original Message-----

**From:** Bhakta.Rakesh  
**Sent:** Monday, September 17, 2001 8:52 AM  
**To:** VanAsdale.Lena  
**Subject:** RE: P-699 "Player Identification"

I don't know however I would like the phone number of the attorney who contacted me as I accidentally erased the message he left me.

thanks

Rakesh

-----Original Message-----

**From:** VanAsdale.Lena  
**Sent:** Saturday, September 15, 2001 10:06 AM  
**To:** Bhakta.Rakesh  
**Cc:** Dimino.Kimberley.A  
**Subject:** P-699 "Player Identification"

Please let me know if this patent application needs to be filed before the show.

Thanks.

Lena

Lena T. Van Asdale  
Associate General Counsel  
International Game Technology  
9295 Prototype Drive  
Reno, Nevada 89511  
Phone (775) 448-7775  
E-mail: Lena.VanAsdale@IGT.com

SEYFARTH  
ATTORNEYS SHAW

Writer's direct phone  
(312) 269-8518

Writer's e-mail  
[gellis@seyfarth.com](mailto:gellis@seyfarth.com)

55 East Monroe Street  
Suite 4200  
Chicago, IL 60603-5803  
312-346-8000  
fax 312-269-8869  
[www.seyfarth.com](http://www.seyfarth.com)

October 15, 2001

VIA FEDERAL EXPRESS

Mr. Rakesh Bhakta  
IGT  
9295 Prototype Drive  
Reno, Nevada 89511

*Re: Your Ref.: P-699  
GAMING DEVICE WITH BIOMETRIC SYSTEM  
Our Case No.: 406990*

Dear Rakesh:

Enclosed is a draft patent application covering your invention. When you have reviewed it, please let me have any comments that you may have with respect to additions or corrections. Please feel free to telephone me with your comments so that we can speed up the filing.

When the application is in condition for filing, Kimberley DiMino can help you to execute it properly, and she can also assist in getting the application back to us for filing.

Cordially yours,

SEYFARTH, SHAW



Garrettson Ellis

GE/jd

Enclosures

cc: Lena T. Van Asdale, Esq. (w/enclosure)  
Ms. Kimberley A. DiMino (w/enclosure)

10287104.1

BRUSSELS  
WASHINGTON, D.C.  
SAN FRANCISCO  
SACRAMENTO  
NEW YORK  
LOS ANGELES  
HOUSTON  
CHICAGO  
BOSTON  
ATLANTA



# International Game Technology

November 8, 2001

**VIA FEDERAL EXPRESS**

Garrettson Ellis, Esq.  
SEYFARTH SHAW  
55 East Monroe Street, Suite 4200  
Chicago, Illinois 60603-5803

Re: Draft Patent Application  
For: Gaming Device With Biometric System  
Our File: P-699  
Your File: 406990

RECEIVED  
NOV 16 2001  
S.S.F. & G.

Dear Mr. Ellis:

Thank you for your letter dated October 15, 2001, regarding the above-entitled draft Patent Application. Please note that I am enclosing comments and revisions to the existing application made by the inventor to the application for your information. Please review these comments and make the changes you think are appropriate. I would appreciate your sending all formal documents and final drafts of the application directly to me, so that I can expedite the return of the formal documents to you for filing with the U.S. Patent Office. Please acknowledge receipt of this correspondence by executing the acknowledgement block at the bottom of this letter, and returning it to me via facsimile at (775) 448-0120.

Should you have questions, please do not hesitate to contact Lena Van Asdale directly at (775) 448-7775 or myself at (775) 448-1438 to discuss.

Very truly yours,

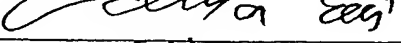
  
Kimberley A. Domino

Supervisor, Intellectual Property

KAD

cc: Lena Van Asdale, Esq.

**ACKNOWLEDGED, RECEIVED AND AGREED TO:**  
**SEYFARTH SHAW**

By:   
Print Name: Ellis  
Title: \_\_\_\_\_  
Date: 11/19/01

**From:** "Bhakta.Rakesh" <Rakesh.Bhakta@IGT.com>  
**To:** "'gellis@seyfarth.com'" <gellis@seyfarth.com>  
**Date:** 11/19/01 2:05PM  
**Subject:** Biometrics-Key text

Gary

here is a link to a some information on capacitive scanning.

If you refer to the paragraph on Finger scanning it will give you an idea about what I was referring too.

Rakesh Bhakta  
IGT  
Las Vegas

<http://www.science.org.au/nova/064/064key.htm>  
<<Biometrics-Key text.url>>

SEYFARTH  
ATTORNEYS SHAW

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312-346-8000

fax 312-269-8869

www.seyfarth.com

November 20, 2001

**VIA FEDERAL EXPRESS**

Mr. Rakesh Bhakta  
International Game Technology  
9295 Prototype Drive  
Reno, Nevada 89511

Re: Your Ref. P-699  
Gaming Device with Biometrics System  
Our Case No. 406990

Dear Rakesh:

Enclosed is the revised draft patent application, responsive to your comments in writing on the draft and in our telephone conversation. Please let me know if you have any other comments.

If the application meets with your approval, please execute the Declaration and Power of Attorney, plus the Assignment, which should be executed before a notary, Kimberley DiMino can help you in this, and she can also assist in getting the application to us for filing.

Cordially yours,

SEYFARTH SHAW

By

  
Garrettson Ellis

GE/ajw

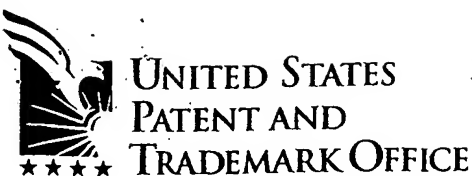
Enclosure

cc: Lena T. VanAsdale, Esq. (w/enc.)  
Ms. Kimberley DiMino (w/enc.)

10299773

BRUSSELS  
WASHINGTON, D.C.  
SAN FRANCISCO  
SACRAMENTO  
NEW YORK  
LOS ANGELES  
HOUSTON  
CHICAGO  
BOSTON  
INTA

EXHIBIT 9



RECEIVED

MAY 15 2002

SEYFARTH SHAW

MAY 09, 2002

PTAS

Under Secretary of Commerce For Intellectual Property and  
Director of the United States Patent and Trademark Office  
Washington, DC 20231  
www.uspto.gov

SEYFARTH, SHAW  
GEORGE H. GERSTMAN, ESQ.  
55 EAST MONROE STREET  
CHICAGO, IL 60603



\*102019080A\*

DOCKET IP

DATE

UNITED STATES PATENT AND TRADEMARK OFFICE  
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 02/27/2002

REEL/FRAME: 012672/0852  
NUMBER OF PAGES: 2

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

BHAKTA, RAKESH

DOC DATE: 12/06/2001

ASSIGNEE:

IGT  
9295 PROTOTYPE  
RENO, NEVADA 89511

SERIAL NUMBER: 10040603

PATENT NUMBER:

FILING DATE: 01/07/2002

ISSUE DATE:

MAURICE CARTER, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS

RE

03-18-2002



102019080

TO THE HONORABLE COMMISSIONER OF PATENTS AND TRADEMARKS

ORIGINAL DOCUMENTS OR COPY THEREOF.

## 1. NAME OF CONVEYING PARTY(IES):

Rakesh Bhakta

2-27-02

ADDITIONAL NAME(S) OF CONVEYING PARTY(IES) ATTACHED? ☐ YES ☒ NO

## 2. NATURE OF CONVEYANCE:

- ☐ ASSIGNMENT  
☐ SECURITY AGREEMENT  
☐ MERGER  
☐ CHANGE OF NAME  
☐ OTHER \_\_\_\_\_

EXECUTION DATE: December 6, 2001



## 2. NAME AND ADDRESS OF RECEIVING PARTY(IES):

IGT  
9295 Prototype Drive  
Reno, Nevada 89511

ADDITIONAL NAME(S) AND ADDRESS(ES) ATTACHED? ☐ YES ☒ NO

## 3. APPLICATION NUMBER(S) OR PATENT NUMBER(S):

THIS DOCUMENT IS BEING FILED TOGETHER WITH A NEW APPLICATION, THE EXECUTION DATE OF THE APPLICATION IS:

## A. PATENTS APPLICATION NUMBER(S)

10/040,603

ADDITIONAL NUMBERS ATTACHED? ☐ YES ☒ NO

## B. PATENT NUMBER(S)

## 4. NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE CONCERNING DOCUMENT SHOULD BE MAILED:

George H. Gerstman, Esq.  
Seyfarth, Shaw  
55 East Monroe Street  
Chicago, Illinois 60603  
USA

## 6. TOTAL NUMBER OF PATENTS OR APPLICATIONS

INVOLVED: 1

## 7. TOTAL FEE (37 CFR § 3.41): \$40.00

☒ ENCLOSED☐ AUTHORIZED TO BE CHARGED TO DEPOSIT ACCOUNT NO. 19-1351

## 8.

(ATTACH DUPLICATE COPY OF THIS PAGE IF PAYING BY DEPOSIT ACCOUNT)

8/2002 LMUELLER 00000015 10040603

C:581

40.00 00

DO NOT USE THIS SPACE

## 9. STATEMENT AND SIGNATURE.

TO THE BEST OF MY KNOWLEDGE AND BELIEF, THE FOREGOING INFORMATION IS TRUE AND CORRECT AND ANY ATTACHED COPY IS A TRUE COPY OF THE ORIGINAL DOCUMENT.

George H. Gerstman, Reg. No. 22,419

NAME OF PERSON SIGNING

SIGNATURE

DATE

Total number of pages including cover sheet, attachments and documents: 3



## ASSIGNMENT

Docket No. 406990

Serial No.

Filed:

Rakesh Bhakta hereinafter the assignor, for good and valuable consideration, hereby assigns to IGT, a Nevada corporation, hereinafter the assignee, its successors and assigns, the entire right, title and interest in the invention or improvements of the assignor disclosed in an application for Patent of the United States, identified as Case No. 406990 in the offices of Seyfarth Shaw, 55 East Monroe, Chicago, Illinois 60603 and entitled GAMING DEVICE WITH BIOMETRIC SYSTEM in said application and any and all other applications, both United States and foreign, which the assignor may file, either solely or jointly with others, on said invention or improvements, and in any and all Patent of the United States and foreign countries which may be obtained on any of said applications and in any reissue or extension of such patents.

The assignor hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Patent to said assignee.

The assignor warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the assignor hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary declaration, oath, or affidavit relating thereto, and any application for the reissue or extension of any Patent that may be granted upon said application, and any and all applications and other documents for Patent in foreign countries on said invention or improvements that said assignee, its successors, or assigns may deem necessary or expedient, and for the said considerations the assignor further agrees, upon the request of said assignee, its successors, or assigns, to cooperate to the best of the ability of the assignor with said assignee, its successors, or assigns, in any proceedings or transactions involving such application or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain and enforce said Patent, both United states and foreign, and vest all rights therein hereby conveyed in the

assignee, its successors, and assigns, whereby said patent will be held and enjoyed by the said assignee, its successors and assigns to the full end of the term for which said Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

Date: 12/06/01

Rakesh Bhakta  
Rakesh Bhakta

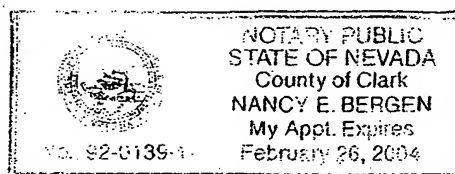
State of Nevada )  
                          )SS  
County of            )

On this 6<sup>th</sup> day of December, 2001, before me, a Notary Public in and for the County and State aforesaid, appeared Rakesh Bhakta, to me personally known to be the same person whose name is subscribed to the foregoing instrument, and acknowledged that he executed said instrument as his free and voluntary act and for the use and purpose therein expressed.

WITNESS my hand and seal the day and year last above given.

Nancy E. Bergen  
Notary Public

(SEAL)



COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

TITLE OF INVENTION: GAMING DEVICE WITH BIOMETRIC SYSTEM

the specification of which is attached hereto.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, §1.56(a).


I hereby appoint the following attorney(s) and/or agents to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: George H. Gerstman, Reg. No. 22,419; Garrettson Ellis, Reg. No. 22,792; Alan L. Unikel, Reg. No. 24,142; Harold V. Stotland, Reg. No. 24,492; J. Terry Stratman, Reg. No. 25,165; and William J. Hallihan, Reg. No. 39,210.

Address all telephone calls to George H. Gerstman at telephone number (312) 269-8567.

Address all correspondence to:

George H. Gerstman  
SEYFARTH SHAW  
55 East Monroe Street  
Chicago, Illinois 60603  
(312) 346-8000

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF SOLE OR FIRST INVENTOR		INVENTOR'S SIGNATURE	DATE
Rakesh Bhakta			12/18/01
RESIDENCE		CITIZENSHIP	
2504 Antique Blossom Avenue, Henderson, NV 89052		British	
POST OFFICE ADDRESS			
2504 Antique Blossom Avenue, Henderson, NV 89052			
FULL NAME OF SECOND JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
FULL NAME OF THIRD JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			
FULL NAME OF FOURTH JOINT INVENTOR, IF ANY		INVENTOR'S SIGNATURE	DATE
RESIDENCE		CITIZENSHIP	
POST OFFICE ADDRESS			



# International Game Technology

January 2, 2002

VIA FEDERAL EXPRESS

FAX SENT

Garrettson Ellis, Esq.  
SEYFARTH SHAW  
55 East Monroe Street, Suite 4200  
Chicago, Illinois 60603-5803

Re: Draft Patent Application  
For: Gaming Device With Biometric System  
Our File: P-699

RECEIVED

JAN - 3 2002

S.S.F. & FAX SENT

FAX SENT

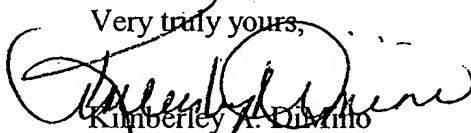
Dear Mr. Ellis:

Thank you for your letter dated November 20, 2001, regarding the above-entitled patent application. Please note that I am enclosing (1) an original Declaration and Assignment document, which has been fully executed by the inventor to the application for filing with the U.S. Patent Office. Please acknowledge receipt of this correspondence and documents by executing the acknowledgement block at the bottom of this letter, and returning it to me via facsimile at (775) 448-0120.

Should you have questions or comments, please do not hesitate to contact Lena Van Asdale, Associate General Counsel at (775) 448-7775 or myself at (775) 448-1438 or via facsimile at (775) 448-0120.

Rakesh (702) 896-8261

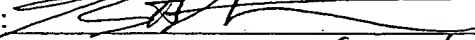
Very truly yours,

  
Kimberley A. Dimino  
Supervisor, Intellectual Property

KAD

ACKNOWLEDGED, RECEIVED AND AGREED TO:

SEYFARTH SHAW

By:   
Print Name: George Gerstman  
Title: Partner  
Date: 1/3/02

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